

COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231

VAN NEST et al.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)

Sheet _1_ of _2_

Filed: April 7, 1995

Examiner: H. Ayer

Title: ADJUVANT FORMULATION COMPRISING SUBMICRON OIL DROPLET EMULSION

U.S. PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Date	Name	Class	Sub Class	Filing Date
70	AA-2	3,790,665	February 5, 1974	Glass et al.			

FOREIGN PATENT DOCUMENTS

Exam. Init.	Ref. Desig.	Document No.	Publication Date	Country or Patent Office	Class	Sub Class	Trans YES	lation NO
	AB-2	A-0 135 376	March 27, 1985	EPO _				
2	AC-2	A-0 382 271	August 16, 1990	EPO —				

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Exam. Init.	Ref. Desig.	Description
a	AD-2	Affidavit of John D. Barackman and Mastersizer Results
D	AE-2	Becher, Emulsions Theory and Practice, 2nd Edition, Chapter 5, (1965)
12	AF-2	Herbert, "The Mode of Action of Mineral-Oil Emulsion Adjuvants on Antibody Production in Mice," <i>Immunology</i> 14:301-318 (1968)

Examiner:

ک :Date Considered

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Atty Dkt No. 0085.006 2302-0085.04

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary) Sheet 2 of 2

In the Application of VAN NEST et al.

Serial No.: 08/418,870

Filed: April 7, 1995

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Examiner: H. Auer

Title: ADJUVANT FORMULATION COMPRISING SUBMICRON OIL DROPLET EMULSION

	1	
Exam. Init.	Ref. Desig.	Description
1X	AG-2	Kaufman et al., "Spectral Absorption Measurements for Determination of Ease of Formation and Stability of Oil in Water Emulsions," <i>J. Dispersion Science and Technology</i> 2(4):475-490 (1981)
	AH-2	Ott et al., Vaccine Design: The Subunit and Adjuvant Approach, Chapter 10, Powell et al., Ed., Plenum Press, New York
R	AI-2	Sanchez-Pescador et al., "The Effect of Adjuvants on the Efficacy of a Recombinant Herpes Simplex Virus Glycoprotein Vaccine," <i>J. Immunology</i> 141:1720-1727 (1988)
a	AJ-2	Silvestri et al., "Theoretical Evaluation of Dispersed Droplet Radii in Submicron Oil-in-Water Emulsions," <i>International Journal of Pharmaceutics</i> 50(2):141-146 (1989)
R	AK-2	Singh et al., "Parenteral Emulsions as Drug Carrier Systems," J. Parenteral Science & Technology 40(1):34-41 (1986)
(0)	AL-2	Woodard et al., "Stable Oil-in-Water Emulsions: Preparation and Use as Vaccine Vehicles for Lipophilic Adjuvants," Vaccine 3:137-144 (1985)
D	AM-2	Yarkoni et al., "Influence of Type of Oil and Surfactant Concentration on the Efficacy of Emulsified <i>Mycobacterium bovis</i> BCG Cell Walls to Induce Tumor Regression in Guinea Pigs," <i>Infection and Immunity</i> 28(3):881-886 (1980)
d	AN-2	Copy of Decision Revoking the European Patent
-2	AO-2 -	Statement of Grounds of Appeal to the Decision Reveking the European Patent

Examiner: Agra Call Date Considered: 5/19/98

EXAMINER: Initial if citation considered whether or not the citation conforms with MPEP609. Draw a line through the citation if not in conformance and not considered. Include copy of this form with next communication to applicant.